

SELF-CERTIFICATION FOR INDIVIDUALS

Instructions for Completion

Wells Fargo is obligated under the Automatic Exchange of Information requirements including the Common Reporting Standard (CRS) to collect certain information about each account holder's tax residency status. To ensure compliance, please complete this form and provide additional information as required. Note that in certain circumstances, we may be required to share this and other information with the tax authority in your country, who may exchange such information with other relevant tax authorities. The information we may be required to share includes the name, address, tax identification number (TIN), date of birth, place of birth of the account holder or controlling persons, account balance or value at year end, and payments made with respect to such account during the calendar year.

You are required to state the residency (or residencies) for tax purposes of the account holder. This is the person or persons entitled to the income and/or assets associated with an account. Definitions to assist you in completing this form can be found in the instructions attached. Each jurisdiction has its own rules for defining tax residence, and jurisdictions have provided information on how to determine if you are a resident in the jurisdiction on the [OECD Automatic Exchange of Information Portal](#).

In general, you will find that the tax residence is the country/jurisdiction in which you live. Special circumstances may cause you to be resident elsewhere or resident in more than one country/jurisdiction at the same time (dual residency). Wells Fargo will not be in a position to provide assistance beyond the information contained within the instructions attached.

If you have remaining questions about how to complete this form or about how to determine your tax residency status you should contact your tax advisor or jurisdictional authority. You can also find out more on the [OECD Automatic Exchange of Information Portal](#).

In the case of a change in circumstances that makes any information on this form incorrect, you are required to advise Wells Fargo of these changes, and provide a new, updated Self-Certification form, within 30 days.

Please print clearly, complete all requested information, and sign where indicated. Fields marked with an * are mandatory. Sections marked with an * must be completed as appropriate.

Section I – Identification of Individual

1. Title _____ 2. Last Name* _____
3. First Name* _____ 4. Middle Name _____
5. Town/City of Birth _____ 6. Country of Birth _____ 7. Date of Birth (DD/MM/YYYY)* _____
8. Current Residence Address*
 - a. House/Apt/Suite Name/Number/Street _____ b. Town/City _____
 - c. Province/State _____
 - d. Country/Jurisdiction (do not abbreviate) _____
 - e. Postal Code _____
9. Mailing Address (if different from residence address)
 - a. House/Apt/Suite Name/Number/Street _____ b. Town/City _____
 - c. Province/State _____
 - d. Country/Jurisdiction (do not abbreviate) _____
 - e. Postal Code _____

Section II – Tax Residency*

I hereby certify that the Individual identified above is resident for tax purposes in the following jurisdiction(s) and the individual's Taxpayer Identification Number (TIN) or functional equivalent in its jurisdiction of residence for tax purposes is:

10. Jurisdiction 1 _____
 - a. Tax Identification Number _____ b. Tax Identification Number Type _____
 - c. ☐ Check this box if this jurisdiction does not issue or you are unable to procure a TIN or functional equivalent
 - d. Specify the reason for non-availability of TIN _____

11. **Additional Jurisdictions of Residency for Tax Purposes (if applicable).** If more than four tax residencies, please provide additional attachment.

	Jurisdiction of Tax Residence	Tax Identification Number	Tax Reference Number Type	Reason for non-availability of TIN (if applicable)
1				
2				
3				
4				

Section III – Declaration and Signature*

I acknowledge that the information contained in this form, and the information regarding the account holder, may be reported to the tax authorities of the country in which this/these account(s) is/are maintained and exchanged with tax authorities of another country or countries in which I may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information. I also acknowledge and agree this form and the information contained may be stored both physically and electronically in jurisdictions outside the jurisdiction where the account is booked.

I acknowledge and agree to the terms of the applicable Wells Fargo international [privacy notice](#) with respect to the processing of personal data provided in connection with this form. For any individual about whom I am providing data other than myself, I represent that I have: (a) informed the individual about Wells Fargo's data privacy practices by providing them with the link above to the applicable privacy notice; and (b) obtained any requisite consents from the individual necessary to permit the activities described in the applicable privacy notice.

I will inform Wells Fargo of any change in circumstances which causes the information contained herein to become incorrect and I will provide an updated Declaration and Self-Certification within 30 days of such change in circumstances.

I certify that I am the account holder (or I am authorized to sign for the account holder) of all the account(s) to which this form relates.

I declare that all statements made in this Declaration are, to the best of my knowledge and belief, correct and complete.

Sign here

<input type="text"/>	<input type="text"/>
Signature*	Print name*
<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/>	<input type="text"/>
Date DD/MM/YYYY*	If you have signed this form on behalf of the Account Holder please indicate the capacity in which you have acted here*

If signing under a Power of Attorney, please also attach a copy of the Power of Attorney.

INSTRUCTIONS - SELF-CERTIFICATION FOR INDIVIDUALS

Instructions for Completion

In order to conform with requirements of the Common Reporting Standard (CRS), which are standards for the automatic exchange of financial account information between jurisdictions developed by the United Kingdom and the Organization for Economic Cooperation and Development (OECD), Wells Fargo is required to collect information regarding your tax residence and other pertinent information to determine if financial reporting is required.

CRS requires financial institutions to implement due diligence and reporting requirements for financial accounts. To comply with the rules governing information reporting on certain financial accounts, Wells Fargo is required to obtain a Self-Certification form from you. Please note that Wells Fargo may be obligated to share this information with the relevant tax authorities.

You are required to state the residency for tax purposes of the account holder. The account holder is the person(s) entitled to the income and/or assets associated with a Financial Account. Definitions to assist you in completing this form can be found in the *Appendix-Definitions* section of this document.

Please complete and return the enclosed Self-Certification form as directed as well as any Annexes and Attachments as applicable to avoid being subject to potential jurisdictional penalties.

Please note:

- **Wells Fargo may be obligated to share this and other information with relevant tax authorities.**
- **If you are not the account holder** but are completing the form on the account holder's behalf then you should indicate the capacity in which you have signed in Section III.
- **Only use this form if the account holder is an individual or sole proprietor.** If the account holder is an entity, you must complete and provide the "Entity Self-Certification" form.
- **For joint or multiple account holders, use a separate sheet for each person.**
- **Do not use this form if the account is in relation to your role as a Controlling Person of an Entity.** Instead you must complete and provide *Annex I - Controlling Person Tax Residency Self-Certification*.
- **In the case of a change in circumstances that makes any information on this form incorrect**, you are required to advise Wells Fargo of these changes, and provide a new, updated Self-Certification form, within 30 days.

Wells Fargo will not be in a position to provide assistance beyond the information contained within these instructions. If you have any questions about how to complete this form or about how to determine your tax residency status you should contact your tax advisor or jurisdictional tax authority, or seek further information from the [OECD Automatic Exchange of Information Portal \(http://www.oecd.org/tax/automatic-exchange/\)](http://www.oecd.org/tax/automatic-exchange/). You can also find further information on [Tax Identification Numbers \(http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/\)](http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/), and on [Tax Residency \(http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/\)](http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/).

Section I - Identification of Individual

Line 1. Title. Include the title applicable to the Individual (such as Mr., Ms., Dr., etc.).

Lines 2-4. Name of the Individual. Include the full name of the Individual, including last name, first, and middle name (if applicable).

Line 5. Town or City of Birth. Include the city where the Individual was born.

Line 6. Country of Birth. Include the country where the Individual was born. The entry of country should be the full name of the jurisdiction, not an abbreviation.

Line 7. Date of Birth. Include the date of birth of the Individual in the following format DD/MM/YYYY.

Line 8 (a-e) Residence Address. Enter the full current residence address of the Individual (including House/Apt/Suite Name/Number/Street/Town/City/Province/State/Country and Postal Code).

- Do not include only a P.O. Box or "in-care of" address; instead, provide complete current residence address as explained above.

Line 9 (a-e) Mailing Address. If mailing address is different from current residence address, please enter full mailing address of the Individual (including House/Apt/Suite Name/Number/Street/Town/City/Province/State/Country and Postal Code).

Note: The entry of Country should be the full name of the jurisdiction, not an abbreviation.

Section II - Tax Residency

In this section you are required to identify **all** your jurisdictions of residency for tax purposes. If you have one single tax residency, you must certify that you have a sole residency for tax purposes. If you have more than one tax residency, please provide details in Line 11.

The term "tax residency" is determined by the laws of the relevant local jurisdiction, and may depend on factors such as your permanent residence or citizenship. If you are unsure of the jurisdiction you are resident of for tax purposes please contact your tax advisor or jurisdictional tax authority.

Note: The terms above may be subject to variation by the definitions of the local jurisdiction. Jurisdictional tax authority guidance should be followed.

Line 10. Jurisdiction 1. Enter the jurisdiction where you are resident for the purposes of that jurisdiction's income taxation. If you are tax resident in more than one jurisdiction, please list each one separately in Line 11.

The entry of jurisdiction should be the full name of the jurisdiction, not an abbreviation.

Line a. Tax Identification Number. Enter the Tax Identification Number that your jurisdiction of residence has issued to you for tax purposes.

Line b. Tax Identification Number type. Indicate the name of the type of tax reference number you are entering (generally Tax Identification Number or "TIN" or functional equivalent). For the purposes of this form, an equivalent to a TIN is any unique identifying number assigned by the jurisdictional tax authorities. Some examples of tax reference number type are summarized below:

Jurisdiction	Tax reference number type	Description
Argentina	CUIT	Clave Unico de Identificacion Tributaria
Australia	TFN	Tax File Number
Belgium	NN	Numéro National
Brazil	CPF	Cadastro de Pessoas Físicas
Canada	SIN	Social Insurance Number
Cayman Islands	N/A	Jurisdiction does not issue TINs
China	ID Number	RoI Unico Nacional
Denmark	CPR	Central Person Registration
France	Numéro SPI	Numéro fiscal de référence
Germany	TIN	Tax ID Number/VAT Number
India	PAN	Permanent Account Number
Ireland	PPS Number	Personal Public Service Number
Italy	TIN	Tax ID Number
Japan	Social Security and Tax Number System, Individual Number	Social Security and Tax Number System, Individual Number
Korea	RRN	Resident Registration Number
Luxembourg	NIN	National Identification Number
Malaysia	Tax Reference Number	"Nombor Rujukan Cukai" or Tax Reference Number
Mexico	RFC	Registro Federal del Contribuyente
Netherlands	TIN	Dutch TIN
Singapore	NRIC/FIN	NRIC: Singapore Citizens or Permanent Residents FIN: Foreign Individuals
South Africa	TIN	South African Tax ID Number
Spain	TIN	Tax ID Number
Turkey	NIN	National Identity Number
UK	NINO/UTR	Unique Taxpayer Reference/National Insurance Number
US	SSN/TIN	Social Security Number/Taxpayer ID Number

Line c. Check this box if this jurisdiction does not issue or you are unable to procure a TIN or functional equivalent. Check the box if you cannot provide a Tax Identification Number or functional equivalent.

Line d. Specify the reason for non-availability of TIN. Provide a brief reason why you cannot obtain a TIN.

Line 11. Additional Jurisdictions of Residency for Tax Purposes. If more than four tax residencies, please provide additional attachment. If applicable, include all your jurisdictions of residency for tax purposes, as well as the TIN type and TIN for each jurisdiction. If you are unable to provide a TIN or functional equivalent for any jurisdiction you entered, briefly describe the reason why you cannot provide a TIN in the corresponding line for each jurisdiction where you cannot provide it.

Section III - Declaration and Signature

Please provide your signature, print your name and date the form. If you are acting on behalf of the account holder, indicate the capacity in which you are signing. If signing the form under a power of attorney, please attach a certified copy of the power of attorney. Additionally, you may review the terms of the applicable Wells Fargo international [privacy notice \(https://www.wellsfargo.com/privacy-security/privacy/\)](https://www.wellsfargo.com/privacy-security/privacy/) with respect to the processing of personal data provided in connection with this form.

Appendix – Definitions

These selected definitions are contained within the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information ("The Common Reporting Standard" or "CRS") and provided to assist you with the completion of this form. Further details can be found within the [OECD Common Reporting Standard of Automatic Exchange of Financial Account Information \(the "CRS"\)](http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/) (<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>), [the associated Commentary to the CRS](#), and domestic guidance.

If you have any questions about these definitions or require further detail then please contact your tax advisor or jurisdictional tax authority.

Account Holder	The term account holder means the person listed or identified as the holder of a Financial Account. A person holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the account holder; the other person is the account holder. For example in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the account holder. With respect to a jointly held account, each joint holder is treated as an account holder and each would need to provide a form.
Change in Circumstances	Any change that results in the addition of information relevant to a person's status or otherwise conflicts with such person's status. In addition, a change in circumstances includes any change or addition of information to the account holder's account (including the addition, substitution, or other change of an account holder) or any change or addition of information to any account associated with such account if such change or addition of information affects the status of the account holder.
Financial Account	An account maintained by a Financial Institution including: <ul style="list-style-type: none"> • Depository Accounts; • Custodial Accounts; • Equity and debt interest in certain Investment Entities; • Cash Value Insurance Contracts; and • Annuity Contracts.
Participating Jurisdiction	A jurisdiction with which an intergovernmental agreement is in place pursuant to which it will provide the information required on the automatic exchange of financial account information set out in the Common Reporting Standard and that is identified in a published list.
Reportable Account	An account held by one or more Reportable Persons or by a Passive NFE with one or more Controlling Persons that is a Reportable Person.
Reportable Jurisdiction	A Participating Jurisdiction with which an obligation to provide financial account information is in place. Please visit the OECD's website for the latest list of Participating Jurisdictions (http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/).
Reportable Person	An individual who is a tax resident in a Reportable Jurisdiction under the laws of that jurisdiction. Dual resident individuals may rely on the tiebreaker rules contained in tax conventions (if applicable) to solve cases of double residence for purposes of determining their residence for tax purpose.
TIN (including functional equivalent)	<p>The term TIN means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. For additional information on acceptable TINs and please refer to the OECD Automatic Exchange Portal (http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/).</p> <p>Some jurisdictions do not issue a TIN. However, these jurisdictions often utilize some other high integrity number with an equivalent level of identification. Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.</p>