

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

WELLS FARGO SECURITIES LLC

as of: 01/31/2024

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS

1. Net ledger balance				
A. Cash			\$ 4,036,534,985	7010
B. Securities (at market)			3,497,884,172	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market			(626,641,426)	7030
3. Exchange traded options				
A. Add: Market value of open option contracts purchased on a contract market			1,156,625,147	7032
B. Deduct Market value of open option contracts granted (sold) on a contract market			(1,176,920,482)	7033
4. Net equity (deficit) (total of Lines 1, 2 and 3)			6,887,482,396	7040
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	152,532,471	7045		
Less: amount offset by customer owned securities	(152,531,342)	7047	1,129	7050
6. Amount required to be segregated (add Lines 4 and 5)			\$ 6,887,483,525	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts				
A. Cash			274,096,221	7070
B. Securities representing investments of customers' funds (at market)			550,047,429	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)			78,353,405	7090
8. Margin on deposit with derivatives clearing organizations of contract markets				
A. Cash			2,090,790,432	7100
B. Securities representing investments of customers' funds (at market)			1,145,685,962	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)			3,419,530,767	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets			80,231,006	7130
10. Exchange traded options				
A. Value of open long option contracts			1,156,625,147	7132
B. Value of open short option contracts			(1,176,920,482)	7133
11. Net equities with other FCMs				
A. Net liquidating equity			0	7140
B. Securities representing investments of customers' funds (at market)			0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)			0	7170
12. Segregated funds on hand (describe:)			0	7150
13. Total amount in segregation (add Lines 7 through 12)			7,618,439,887	7180
14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)			\$ 730,956,362	7190
15. Management target amount for excess funds in segregation			125,000,000	7194
16. Excess (deficiency) funds in segregation over (under) management target amount excess			605,956,362	7198

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**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$(14,355,758,261)	8500
B. Securities (at market)		11,389,250,435	8510
2. Net unrealized profit (loss) in open cleared swaps		18,638,918,696	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		0	8540
4. Net equity (deficit) (add lines 1, 2 and 3)		15,672,410,871	8550
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount	\$ 545,966,646	8560	
Less: amount offset by customer owned securities	(545,951,922)	8570	
		14,724	8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		15,672,425,595	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 845,396,647	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		500,000,000	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		892,559,779	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		2,883,478,339	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		1,399,804,402	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		10,496,690,656	8650
9. Net settlement from (to) derivatives clearing organizations		(23,038,419)	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		0	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe:)		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		16,994,891,404	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 1,322,465,809	8730
15. Management target Amount for Excess funds in cleared swaps segregated accounts		\$ 310,000,000	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess		\$ 1,012,465,809	8770

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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

1. Amount required to be segregated in accordance with 17 CFR 32.6	\$ 0	7200
2. Funds/property in segregated accounts		
A. Cash	\$ 0	7210
B. Securities (at market value)	0	7220
C. Total funds/property in segregated accounts	0	7230
3. Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)	\$ 0	7240

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$ 0	7305
1. Net ledger balance - Foreign futures and foreign options trading - All customers		
A. Cash	\$ 136,565,244	7315
B. Securities (at market)	\$ 157,034,109	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 10,896,418	7325
3. Exchange traded options		
A. Market value of open option contracts purchased on a foreign board of trade	\$ 0	7335
B. Market value of open option contracts granted (sold) on a foreign board of trade	\$ 0	7337
4. Net equity (deficit) (add Lines 1, 2, and 3)	\$ 304,495,771	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 13,156,090	7351
Less: Amount offset by customer owned securities	\$(13,147,734)	7352
6. Amount required to be set aside as the secured amount - Net liquidating equity method (add Lines 4 and 5)	\$ 8,356	7354
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or Line 6.	\$ 304,504,127	7355
	\$ 304,504,127	7360

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks					
A. Banks located in the United States		\$ 162,498,533	7500		
B. Other banks qualified under 17 CFR. 30.7					
Name(s): <u>See Attached</u>	7510	15,034,120	7520	\$ 177,532,653	7530
2. Securities					
A. In safekeeping with banks located in the United States		\$ 1,606,191	7540		
B. In safekeeping with other banks designated by 17 CFR. 30.7					
Name(s): <u>See Attached</u>	7550	0	7560	1,606,191	7570
3. Equities with registered futures commission merchants					
A. Cash		\$ 5,603,639	7580		
B. Securities		116,276,255	7590		
C. Unrealized gain (loss) on open futures contracts		24,335,593	7600		
D. Value of long option contracts		0	7610		
E. Value of short option contracts		0	7615	146,215,487	7620
4. Amounts held by clearing organizations of foreign boards of trade					
Name(s): _	7630				
A. Cash		\$ 0	7640		
B. Securities		0	7650		
C. Amount due to (from) clearing organization - daily variation		0	7660		
D. Value of long option contracts		0	7670		
E. Value of short option contracts		0	7675	0	7680
5. Amounts held by members of foreign boards of trade					
Name(s): <u>See Attached</u>	7690				
A. Cash		\$ 56,085,811	7700		
B. Securities		39,151,664	7710		
C. Unrealized gain (loss) on open futures contracts		(8,140,060)	7720		
D. Value of long option contracts		0	7730		
E. Value of short option contracts		0	7735	87,097,415	7740
6. Amounts with other depositories designated by a foreign board of trade					
Name(s): _	7750			0	7760
7. Segregated funds on hand (describe): _				0	7765
8. Total funds in separate 17 CFR 30.7 accounts				\$ 412,451,746	7770
9. Excess (deficiency) set aside funds for secured amount (Line Item 7770 minus Line Item 7360)				107,947,619	7380
10. Management target amount for excess funds in separate 17 CFR 30.7 accounts				30,000,000	7780
11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess				77,947,619	7785