

**WINJAMMER FILING**

**INITIAL**

**End Date:11/21/2024**

**Firm Name:Wells Fargo Securities LLC**

**Form:Daily Seg - FOCUS II**

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**Daily Segregation - Cover Page**

Name of Company

**Wells Fargo Securities LLC**

Contact Name

**James Gnall**

Contact Phone Number

**917-699-6822**

Contact Email Address

**james.w.gnall@wellsfargo.com**

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

**145,000,000**

b. Minimum percentage of customer segregated funds required:% ; or

**0**

c. Dollar amount range between:and; or

**0 0**

d. Percentage range of customer segregated funds required between:% and%.

**0 0**

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

**25,000,000**

b. Minimum percentage of customer secured funds required:% ; or

**0**

c. Dollar amount range between:and; or

**0 0**

d. Percentage range of customer secured funds required between:% and%.

**0 0**

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

**475,000,000**

b. Minimum percentage of cleared swaps customer collateral required:% ; or

**0**

c. Dollar amount range between:and; or

**0 0**

d. Percentage range of cleared swaps customer collateral required between:% and%.

**0 0**

Attach supporting documents CH

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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

- 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
  - A. Cash **103,025,885** [7315]
  - B. Securities (at market) **115,027,942** [7317]
- 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade **31,444,246** [7325]
- 3. Exchange traded options
  - a. Market value of open option contracts purchased on a foreign board of trade **324** [7335]
  - b. Market value of open contracts granted (sold) on a foreign board of trade **0** [7337]
- 4. Net equity (deficit) (add lines 1. 2. and 3.) **249,498,397** [7345]
- 5. Account liquidating to a deficit and account with a debit balances - gross amount **2,989,256** [7351]  
Less: amount offset by customer owned securities **-2,962,592** [7352] **26,664** [7354]
- 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) **249,525,061** [7355]
- 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. **249,525,061** [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

- 1. Cash in banks
  - A. Banks located in the United States **146,256,597** [7500]
  - B. Other banks qualified under Regulation 30.7 **8,976,895** [7520] **155,233,492** [7530]
- 2. Securities
  - A. In safekeeping with banks located in the United States **4,034,222** [7540]
  - B. In safekeeping with other banks qualified under Regulation 30.7 **0** [7560] **4,034,222** [7570]
- 3. Equities with registered futures commission merchants
  - A. Cash **-17,295,379** [7580]
  - B. Securities **82,272,539** [7590]
  - C. Unrealized gain (loss) on open futures contracts **49,048,822** [7600]
  - D. Value of long option contracts **324** [7610]
  - E. Value of short option contracts **0** [7615] **114,026,306** [7620]
- 4. Amounts held by clearing organizations of foreign boards of trade
  - A. Cash **0** [7640]
  - B. Securities **0** [7650]
  - C. Amount due to (from) clearing organization - daily variation **0** [7660]
  - D. Value of long option contracts **0** [7670]
  - E. Value of short option contracts **0** [7675] **0** [7680]
- 5. Amounts held by members of foreign boards of trade
  - A. Cash **70,202,141** [7700]
  - B. Securities **28,721,181** [7710]
  - C. Unrealized gain (loss) on open futures contracts **-17,191,911** [7720]
  - D. Value of long option contracts **0** [7730]
  - E. Value of short option contracts **0** [7735] **81,731,411** [7740]
- 6. Amounts with other depositories designated by a foreign board of trade **0** [7760]
- 7. Segregated funds on hand **0** [7765]
- 8. Total funds in separate section 30.7 accounts **355,025,431** [7770]
- 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) **105,500,370** [7380]
- 10. Management Target Amount for Excess funds in separate section 30.7 accounts **25,000,000** [7780]
- 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target **80,500,370** [7785]

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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1.	Net ledger balance	
	A. Cash	<b><u>3,730,822,606</u></b> [7010]
	B. Securities (at market)	<b><u>2,906,771,246</u></b> [7020]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<b><u>-523,773,991</u></b> [7030]
3.	Exchange traded options	
	A. Add market value of open option contracts purchased on a contract market	<b><u>1,218,454,605</u></b> [7032]
	B. Deduct market value of open option contracts granted (sold) on a contract market	<b><u>-1,197,030,789</u></b> [7033]
4.	Net equity (deficit) (add lines 1, 2 and 3)	<b><u>6,135,243,677</u></b> [7040]
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	<b><u>108,240,965</u></b> [7045]
	Less: amount offset by customer securities	<b><u>-103,571,922</u></b> [7047] <b><u>4,669,043</u></b> [7050]
6.	Amount required to be segregated (add lines 4 and 5)	<b><u>6,139,912,720</u></b> [7060]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	<b><u>457,512,921</u></b> [7070]
	B. Securities representing investments of customers' funds (at market)	<b><u>700,000,000</u></b> [7080]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<b><u>135,186,515</u></b> [7090]
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<b><u>1,365,089,235</u></b> [7100]
	B. Securities representing investments of customers' funds (at market)	<b><u>1,249,450,281</u></b> [7110]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<b><u>2,771,584,731</u></b> [7120]
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<b><u>20,984,596</u></b> [7130]
10.	Exchange traded options	
	A. Value of open long option contracts	<b><u>1,218,454,605</u></b> [7132]
	B. Value of open short option contracts	<b><u>-1,197,030,789</u></b> [7133]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<b><u>0</u></b> [7140]
	B. Securities representing investments of customers' funds (at market)	<b><u>0</u></b> [7160]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<b><u>0</u></b> [7170]
12.	Segregated funds on hand	<b><u>0</u></b> [7150]
13.	Total amount in segregation (add lines 7 through 12)	<b><u>6,721,232,095</u></b> [7180]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<b><u>581,319,375</u></b> [7190]
15.	Management Target Amount for Excess funds in segregation	<b><u>145,000,000</u></b> [7194]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	<b><u>436,319,375</u></b> [7198]

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**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements	
1.	Net ledger balance
	A. Cash <b><u>-9,283,468,483</u></b> [8500]
	B. Securities (at market) <b><u>10,634,417,845</u></b> [8510]
2.	Net unrealized profit (loss) in open cleared swaps <b><u>13,999,579,142</u></b> [8520]
3.	Cleared swaps options
	A. Market value of open cleared swaps option contracts purchased <b><u>0</u></b> [8530]
	B. Market value of open cleared swaps option contracts granted (sold) <b><u>0</u></b> [8540]
4.	Net Equity (deficit) (add lines 1, 2, and 3) <b><u>15,350,528,504</u></b> [8550]
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount <b><u>31,415,886</u></b> [8560]
	Less: amount offset by customer owned securities <b><u>-31,415,795</u></b> [8570] <b><u>91</u></b> [8580]
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5) <b><u>15,350,528,595</u></b> [8590]
7.	Funds in Cleared Swaps Customer Segregated Accounts
	Deposited in cleared swaps customer segregated accounts at banks
	A. Cash <b><u>822,683,081</u></b> [8600]
	B. Securities representing investments of cleared swaps customers' funds (at market) <b><u>725,000,000</u></b> [8610]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market) <b><u>977,526,657</u></b> [8620]
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
	A. Cash <b><u>2,296,290,312</u></b> [8630]
	B. Securities representing investments of cleared swaps customers' funds (at market) <b><u>1,350,234,068</u></b> [8640]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market) <b><u>9,656,891,188</u></b> [8650]
9.	Net settlement from (to) derivatives clearing organizations <b><u>231,425,610</u></b> [8660]
10.	Cleared swaps options
	A. Value of open cleared swaps long option contracts <b><u>0</u></b> [8670]
	B. Value of open cleared swaps short option contracts <b><u>0</u></b> [8680]
11.	Net equities with other FCMs
	A. Net liquidating equity <b><u>0</u></b> [8690]
	B. Securities representing investments of cleared swaps customers' funds (at market) <b><u>0</u></b> [8700]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market) <b><u>0</u></b> [8710]
12.	Cleared swaps customer funds on hand
	A. Cash <b><u>0</u></b>
	B. Securities representing investments of cleared swaps customers' funds (at market) <b><u>0</u></b>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market) <b><u>0 0</u></b> [8715]
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12) <b><u>16,060,050,916</u></b> [8720]
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) <b><u>709,522,321</u></b> [8730]
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts <b><u>475,000,000</u></b> [8760]
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management <b><u>234,522,321</u></b> [8770]